

ISA Application Form

IFSL

Fund Services

SECTION A PERSONAL DETAILS

This section is mandatory

Please provide all of your personal details.

Providing your email address will allow us to send certain documentation via email. If you don't provide an email address you will be unable to update your account via email. This includes new investments into your account and redemptions from your account.

Client Identification

In order to comply with Money Laundering Regulations we need to verify your identity. We do this by checking your details against an electronic identity verification platform. These checks won't have any impact on your credit rating. If we can't verify your identity we may write to you with further requirements.

Overseas Investors

If you are a non-UK resident please contact us before investing to discuss your tax position.

SECTION D INVESTMENT DETAILS

This section is mandatory

Please provide details of your investment choices.

The SEDOL/ISIN of your chosen funds are available from your Financial Adviser. Alternatively they are available in the funds section of our website www.ifslfunds.com. Minimum investment requirements are also available on the website within each fund prospectus.

Payments

Cheques must be made payable to IFSL and must be drawn on an account in the name of the applicant.

ISA Application Form 2022/2023

IFSL Fund Services

Please complete this form in **BLOCK CAPITALS** and return to: Investment Fund Services Limited (IFSL), Marlborough House, 59 Chantry New Road, Bilton, BL1 4QP; via email at dealing@ifslfunds.com; by facsimile on 01204 533045 or to your financial adviser.

SECTION A - PERSONAL DETAILS - please complete this section in full as this is mandatory

Title Surname Forename(s)
 Permanent residential address
 Postcode
 Nationality
 Date of birth
 National number
 Telephone number
 Email address
 Existing holder ID / account number (if known)

Where you have provided an email address, information and documentation relevant to the operation and maintenance of your account will be sent via email. Please tick this box if you wish to receive this via the post:

I apply to subscribe to a Stocks & Shares ISA for the tax year 2022/2023 and each consecutive tax year thereafter, until further notice. (Please be aware that this statement, recommended by HMRC, enables you to invest in consecutive tax years without the need for a new application form. It does not oblige you to invest with IFSL in consecutive tax years unless you decide to do so.)

SECTION B - FINANCIAL ADVISER'S DETAILS - to be completed by your financial adviser if applicable

Adviser's name/firm
 Adviser's email address
 FCA/FIN number
 Agency code (if known)
 Please tick all which apply:
 Cancellation rights apply Discretionary business Advised business
 Execution only Portfolio management services

SECTION C - INCOME INSTRUCTION & BANK DETAILS - please tick only one option. This section is mandatory

When providing bank details please ensure these are accurate as we cannot accept any responsibility for payments made to incorrect account details which have been provided here.

REINVEST INCOME (accumulation shares will be used where available) OR PAY INCOME TO MY BANK ACCOUNT (see below)

Cheques cannot be sent for dividend payments. If bank details are not provided income will be reinvested.

Name of bank/building society
 Name of account holder
 Bank account number
 Sort code
 Rail number / building society reference

SECTION B FINANCIAL ADVISER DETAILS

If you are investing via a financial adviser they will need to complete this section.

SECTION C INCOME INSTRUCTION & BANK DETAILS

This section is mandatory
 Please tell us what you would like us to do with any income from your investment.

If no bank details are provided we are unable to pay out any income and this will instead be reinvested, using accumulation shares where available.

Any payment made must be to an account held in your name. We are unable to offer dividend payments by cheque.

SECTION E MANDATE DETAILS

Please complete this section in full for monthly investments or leave this blank if you are investing a lump sum.

NB the bank account name must match the name of the applicant.

SECTION F PRIVACY NOTICE

Please inform us if you wish to be kept informed of our investment products and services.

SECTION D - INVESTMENT DETAILS - please provide details of your investment choices. This section is mandatory

Fund name	SEDOL/ISIN of Fund	Lump Sum Investment	Monthly Amount
1.			
2.			
3.			
4.			
5.			

Investment limit amount for the tax year 2022/2023 £30,000.00 TOTAL

Lump sum: Payment can be sent via bank transfer to our client account using the following details: Account Name "Investment Fund Services Limited" Bank Code "40-05-30" Account Number "5687769" and must include the main applicants full name as a reference. Alternatively payment can be made by cheque made payable to "Investment Fund Services Limited". Initial investments into an ISA account cannot be placed until we are in receipt of the payment.
 Monthly: Please ensure that section E is fully completed to allow us to process your application. Maximum investment amount in an ISA is £366.66 per month.

SECTION E - MANDATE DETAILS - INSTRUCTION TO YOUR BANK OR BUILDING SOCIETY TO PAY BY DIRECT DEBIT

To: The Manager Bank/building society: Service User: Investment Fund Services Ltd (IFSL), Marlborough House, 59 Chantry New Road, Bilton, BL1 4QP
 Address: Service User No: 2101564
 Reference: (to be completed by IFSL)
 Name of Account Holder: Instruction to your Bank or Building Society: Please pay IFSL Direct Debits from the account detailed on this instruction subject to the safeguards assumed by the Direct Debit Guarantee. I understand that this instruction may remain with IFSL and if so, details will be passed electronically to my Bank/Building Society.
 Branch Sort Code: Date Name
 Signature: Date

Please be aware that any bank details provided in this section will override any existing bank details that we may hold for you, unless otherwise stated. We will write to you to confirm the date on which your Direct Debit will be collected.

SECTION F - PRIVACY NOTICE

Our full Privacy Notice
 We're committed to processing the personal data that you provide to us in line with the latest data protection and privacy legislation in force within the United Kingdom. We'll use your information in order to provide the services you've requested in this Application Form and to administer your investments, communicate with you and provide information about our products and services when you request it, to meet our legal obligations and for the purposes of fraud prevention.

Communicating with you including direct marketing
 We'd like to let you know about IFSL investment products and services we think would be of interest to you. However, we'll only contact you if you consent by ticking the boxes below.

Your marketing preferences
 I'm happy for IFSL to contact me in the following ways about investment products, services and promotional offers that may be of interest.
 Please tick here: By post By phone By email

You can withdraw your consent at any time by letting us know by post, phone or email. Please note we will continue to contact you with information relevant to the operation and maintenance of your account as required by law.

SECTION G DECLARATION

This section is mandatory.

Please ensure you read this section fully and sign & date in the appropriate place.

SECTION G - DECLARATION - please ensure that you read and sign this section as this is mandatory

G

- I declare that I have read and understood the relevant Key Investor Information Document (KIID), the Supplementary Information Document (SID) and the IFSL Terms & Conditions and agree to be bound to these. I understand that these documents form part of the terms and conditions of my investment in the fund(s) and are available at www.ifslfunds.com
- I declare that I have read and understood the full prospectus of the relevant fund(s) (which includes the risk warnings relevant to the fund(s) along with the minimum investment amounts of the fund(s) and the dealing time deadlines for processing of subscriptions), are available from IFSL and also at www.ifslfunds.com
- To the best of my knowledge and belief, all statements made in this application form are true and correct and I shall inform the plan manager immediately of any changes herein
- I declare that I am 18 years of age or over, I am resident in the United Kingdom (UK) for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas) are treated as being performed in the UK, or I am married to or in a civil partnership with, a person who performs such duties. I will inform IFSL if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties
- I confirm that I am not a US Person (either a resident or citizen) and acknowledge that US Persons cannot hold shares/units in the fund(s)
- I apply to subscribe for a Stocks & Shares ISA for the tax year and amount, stated overleaf and any consecutive years thereafter
- I have not subscribed and will not subscribe to another Stocks & Shares ISA in the same tax year that I subscribe to this Stocks & Shares ISA
- I have not subscribed/made payments, and will not subscribe/make payments, more than the overall subscription/payment limit in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year
- All subscriptions made and to be made belong to me
- I hereby authorise IFSL:
 - (i) to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
 - (ii) to make on my behalf any claims to relief from tax in respect of ISA investments
- I have read the IFSL Privacy Notice concerning the use of my personal data

Client Signature _____ Date

SECTION H - THE DIRECT DEBIT GUARANTEE - please retain for your own records

Banks and Building Societies may not accept Direct Debit instructions for some types of account. This guarantee should be detached and retained by the payer

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit IFSL will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request IFSL to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by IFSL or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
- If you receive a refund you are not entitled to, you must pay it back when IFSL contacts you
- You can cancel a Direct Debit at any time by contacting your bank or building society. Written confirmation may be required. Please also notify us

DIRECT DEBIT

H

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SECTION H THE DIRECT DEBIT GUARANTEE

This section is to be retained by you. Please cut off this section before sending in your application.

CHECKLIST

- Have you read the KIID and SID for the fund(s) you are investing in?
- Lump sum investment: have you enclosed a cheque for the investment amount made payable to IFSL?
- Monthly Investment: have you completed section E to set up your Direct Debit and detached & kept the Direct Debit Guarantee in section H?
- Have you provided up to date bank details if you wish to have your income paid out to you? Without bank details any income will be reinvested using accumulation shares where available
- Have you read, signed & dated the declaration?
- Have you read the IFSL Terms & Conditions?

WHAT IS A KIID & SID?

The KIID (Key Investor Information Document) is a document which gives key information about a fund(s) including the nature and risk of the fund. The SID (Supplementary Information Document) is a document which provides additional useful information you should be aware of before investing with IFSL. These documents are available within the funds section of our website www.ifslfunds.com and **must be read before submitting the application.**

Investment Fund Services Limited (IFSL) is registered in England and Wales No. 06110770. Authorised and regulated by the Financial Conduct Authority and a member of The Investment Association.

 Marlborough House, 59 Chorley New Road, Bolton, BL1 4QP

 Investor Support: 0808 178 9321

 Email: enquiries@ifslfunds.com

 Website: www.ifslfunds.com

IFSL

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