

SECTION A TRUSTEE DETAILS

This section is mandatory
Please provide all details of your trust.

Client Identification

In order to comply with Money Laundering Regulations we are required to verify the status of all applicants.

Overseas Investors

If you have a residence outside of the UK, please ensure you submit a Tax Residency Self-certification form along with this application form. Failure to do so may result in your application being returned to you for full completion.

SECTION C BENEFICIAL OWNERS

We're required to verify the identity of all beneficial owners. A beneficial owner is a person or company who has control of an asset even though it's held under a different name. A beneficial owner is anyone who controls 25% or more of the shares.

SECTION D FINANCIAL ADVISERS DETAILS

If you are investing via a financial adviser they will need to complete this section.

Unit Trust/OEIC Trustee Account Application Form

Marlborough Fund Managers

Please complete this application form in **BLOCK CAPITALS** and return to: Marlborough Fund Managers Ltd, Marlborough House, 59 Charter New Road, Botton B3 1JF or to your financial adviser.

SECTION A - TRUSTEE DETAILS - please complete this section as it is mandatory

If an individual has an address outside of the UK, a CRS-I form must be submitted alongside this application form. If a company has an address outside of the UK, a CRS-E form must be submitted alongside this application form. Trust Name (this will be listed as the account designator)

Trustee Name **A**

Registered _____ Postcode _____

Existing holder ID / account number (if known) _____

Correspondence Address _____ Postcode _____

Telephone number _____ Email address _____

SECTION B - ADDITIONAL TRUSTEES - please complete this section if there are additional trustees

If there are further trustees please include their details under separate cover.

Additional trustee name _____

Address _____ Postcode _____ Date of birth **B** | | | | | | | | | |

Additional trustee name _____

Address _____ Postcode _____ Date of birth | | | | | | | | | |

Additional trustee name _____

Address _____ Postcode _____ Date of birth | | | | | | | | | |

Additional trustee name _____

Address _____ Postcode _____ Date of birth | | | | | | | | | |

Marlborough Fund Managers Ltd is authorised and regulated by the Financial Conduct Authority and is a member of The Investment Association.

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SECTION B ADDITIONAL TRUSTEES

Please submit details of any additional trustees.
Please be aware that any correspondence will be addressed to the main holder.

Overseas Joint Holders

If any of the additional trustees have a residence outside of the UK, please ensure you submit a Tax Residency Self-certification form along with this application form. Failure to do so may result in your application being returned to you for full completion.

SECTION E INCOME INSTRUCTION & BANK DETAILS

This section is mandatory.
Please let us know what you would like us to do with any income from your investment. If no bank account details are provided we are unable to pay out any income and this will instead be reinvested, using accumulation shares where available. Any payment made must be to an account held in the name of one of the applicants. We are unable to offer dividend payments by cheque.

SECTION C - BENEFICIAL OWNERS - please complete this section with details of the beneficial owner(s) of the trust

If there are further beneficial owners please include their details under separate cover.

Trust beneficial owner **C**

Name _____ Address _____ Postcode _____ Date of birth | | | | | | | | | |

Signature _____ Date | | | | | | | | | |

Trust beneficial owner _____

Name _____ Address _____ Postcode _____ Date of birth | | | | | | | | | |

Signature _____ Date | | | | | | | | | |

Trust beneficial owner _____

Name _____ Address _____ Postcode _____ Date of birth | | | | | | | | | |

Signature _____ Date | | | | | | | | | |

SECTION D - FINANCIAL ADVISER'S DETAILS - to be completed by your financial adviser if applicable

Adviser's name _____ Adviser's firm stamp _____

FCA/FRN number _____

Agency code (if known) _____

Please tick all which apply

Concession rights apply Discretionary business Advised business

Execution only Portfolio management services

SECTION E - INCOME INSTRUCTION & BANK DETAILS - please tick only one option. This section is mandatory

When providing bank details please ensure these are accurate as we cannot accept any responsibility for payments made to incorrect account details which have been provided here.

REINVEST INCOME (accumulation shares will be used where available) OR PAY INCOME TO MY BANK (see below) **E**

Cheques cannot be sent for dividend payments, if bank details are not provided income will be reinvested.

Name of bank/building society _____

Name of account holder _____

Bank account number _____ Sort code _____

Roll number / building society reference _____

UNIT TRUST/OEIC TRUSTEE ACCOUNT APPLICATION FORM PAGE 2 OF 5

SECTION F INVESTMENT DETAILS

This section is mandatory.

Please provide details of your investment choices.

The SEDOL/ISIN of your chosen funds are available from your financial adviser. Alternatively they are available in the funds section of our website

www.marlbroughfunds.com.

Minimum investment requirements are also available on the website within each fund prospectus.

Payments for any investments made as part of this application should be sent to the following bank details prior to the application being received and must be sent from an account in the name of one of the applicants:

Account name **Marlborough Fund Managers Client Account**

Sort code **40-05-30**

Account number **74576837**

Reference **Please add the main applicants full name as a reference**

Alternatively, payments made by cheque must be made payable to Marlborough Fund Managers Ltd and must be drawn on an account in the name of one of the applicants.

SECTION J DECLARATION

This section is mandatory. Please ensure you read this section fully and sign & date in the appropriate place.

Where a trustee is set up as a company, the declaration must be signed by 2 persons from that companies most up to date authorised signatory list unless that signatory list specifically states that only 1 person can sign. All other trustees must also sign the declaration.

SECTION G MANDATE DETAILS

Please complete this section in full for monthly investments or leave this blank if you are investing a lump sum.

NB the bank account name must match the name of one of the applicants.

SECTION H AUTHORISED SIGNATORIES

Please provide us an original or certified copy of the most up to date signatory list for any trustee which are set up as companies. For a certified copy, the certification must state "True Copy", must be in wet ink and must include the date the certification took place. It must be certified by 2 people who are themselves present on the authorised signatory list in question.

SECTION I PRIVACY NOTICE

Please inform us if you wish to be kept informed of our investment products and services.

SECTION K DD GUARANTEE

This section is to be retained by you. Please cut off this section before sending in your application.

WHAT IS A KIID & SID?

The KIID (Key Investor Information Document) is a document which gives key information about a fund(s) including the nature and risk of the fund. The SID (Supplementary Information Document) is a document which provides additional useful information you should be aware of before investing with Marlborough. These documents are available within the funds section of our website www.marlbroughfunds.com and must be read before submitting the application.

CHECKLIST

- Have you read the KIID, SID and T&Cs for the fund(s) you are investing in?
- Lump sum investment: have you enclosed a cheque for the investment amount made payable to Marlborough Fund Managers Ltd?
- Monthly Investment: have you completed section F to set up your Direct Debit and detached & kept the Direct Debit Guarantee in section I?
- Have you read, signed & dated the declaration?

Marlborough House, 59 Chorley New Road, Bolton, BL1 4QP

Investor Support: 0808 145 2500

Email: enquiries@marlbroughfunds.com

Website: www.marlbroughfunds.com

Marlborough
Fund Managers