

SECTION A PERSONAL DETAILS

This section is mandatory

Please provide all of your personal details.

Account Designation

Each account can have a designation applied in order to identify the beneficial owner of the account. The account designation has a maximum 30 characters.

Client Identification

In order to comply with Money Laundering Regulations we need to verify the identities of all applicants. We do this by checking your details against an electronic identity verification platform. These checks won't have any impact on your credit rating. If we can't verify all identities we may write to you with further requirements.

Overseas Investors

If you have a residence outside of the UK, please ensure you submit a CRS-I form along with this application form. Failure to do so may result in your application being returned to you for full completion.

SECTION E INVESTMENT DETAILS

This section is mandatory.

Please provide details of your investment choices.

The SEDOL/ISIN of your chosen funds are available from your financial adviser. Alternatively they are available in the funds section of our website

www.marlboroughfunds.com.

Minimum investment requirements are also available on the website within each fund prospectus.

Payments for any investments made as part of this application should be sent to the following bank details prior to the application being received and must be sent from an account in the name of one of the applicants:

Account name **Marlborough Fund Managers Client Account**

Sort code **40-05-30**

Account number **74576837**

Reference **Please add the main applicants full name as a reference**

Alternatively, payments made by cheque must be made payable to Marlborough Fund Managers Ltd and must be drawn on an account in the name of one of the applicants.

Unit Trust/OEIC Application Form Marlborough Fund Managers

For use by individual and joint applicants. Please complete this application form in **BLOCK CAPITALS** and return to Marlborough Fund Managers Ltd, Marlborough House, 59 Charley New Road, Bolton BL1 4GP or to your financial adviser.

SECTION A - PERSONAL DETAILS - please complete this section in full as this is mandatory

If any holder has a residence outside of the UK, they must each submit a CRS-I form alongside this application form.

Title Surname Forename(s)
Permanent residential address Postcode
Nationality Date of birth
National Insurance number If you do not have a NI number please tick this box
Telephone number Email address
Existing holder ID / account number (if known)
Account Designation (if required - maximum 30 characters)

SECTION B - JOINT HOLDERS - If applicable an account may have up to a maximum of three additional holders

If any holder has a residence outside of the UK, they must each submit a CRS-I form alongside this application form.

Title Surname Forename(s)
Permanent residential address Postcode
Nationality Date of birth
National Insurance number If you do not have a NI number please tick this box
Telephone number Email address

Title Surname Forename(s)
Permanent residential address Postcode
Nationality Date of birth
National Insurance number If you do not have a NI number please tick this box
Telephone number Email address

Title Surname Forename(s)
Permanent residential address Postcode
Nationality Date of birth
National Insurance number If you do not have a NI number please tick this box
Telephone number Email address

Marlborough Fund Managers Ltd is authorised and regulated by the Financial Conduct Authority and is a member of The Investment Association.
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SECTION B JOINT HOLDERS

Please submit details of any additional holders you would like the account to be registered against. Each account can have up to 3 additional holders in addition to the main holder. Please be aware that any correspondence will be addressed to the main holder.

Overseas Joint Holders

If any joint holders have a residence outside of the UK, please ensure that a CRS-I form is submitted along with this application form for each applicant with an overseas residence. Failure to do so may result in your application being returned to you.

SECTION C FINANCIAL ADVISERS DETAILS

If you are investing via a financial adviser they will need to complete this section.

SECTION C - FINANCIAL ADVISER'S DETAILS - to be completed by your financial adviser if applicable

Adviser's name/firm
Adviser's email address
FCI/FRN number
Agency code (if known)
Please tick all which apply
 Cancellation rights apply Discretionary business Advised business
 Execution only Portfolio management services

SECTION D - INCOME INSTRUCTION & BANK DETAILS - please tick only one option. This section is mandatory

When providing bank details please ensure these are accurate as we cannot accept any responsibility for payments made to incorrect account details which have been provided here.

REINVEST INCOME (accumulation shares will be used where available) OR PAY INCOME TO MY BANK (see below)

Cheques cannot be sent for dividend payments, if bank details are not provided income will be reinvested.

Name of bank/building society
Name of account holder
Bank account number Sort code
Roll number / building society reference

SECTION E - INVESTMENT DETAILS - please provide details of your investment choices. This section is mandatory

Fund name	SEDOL/ISIN of Fund	Lump Sum Investment	Monthly Amount
1.			
2.			
3.			
4.			
5.			
TOTAL			

Lump Sum: Payment can be sent via bank transfer to the Marlborough Fund Managers - Client Account - Sort code 40-05-30 Account no. 74576837 and must include the main applicants full name as a reference. Alternatively payment can be made by cheque, up to a maximum amount of £100,000.00, made payable to Marlborough Fund Managers Ltd. Monthly: Please ensure that Section F is fully completed to allow us to process your application.

SECTION F - MANDATE DETAILS - INSTRUCTION TO YOUR BANK OR BUILDING SOCIETY TO PAY BY DIRECT DEBIT

To: The Manager Bank/building society Service User: Marlborough Fund Managers Ltd, Marlborough House, 59 Charley New Road, Bolton, BL1 4GP
Address Postcode Service User No: 74576837
Reference: to be completed by Marlborough
Name(s) of Account Holder(s) Instruct to your Bank or Building Society: Marlborough Fund Managers Direct Debit from the account listed to the safeguarders issued by the Direct Debit Guarantee and if so, details will be passed electronically to my Bank/Building Society.
Bank/Building Society Branch Number Branch Sort Code Print Name
Signature Date
Please be aware that any bank details provided in this section will override any existing bank details that we may hold for you, unless otherwise stated. We will write to you to confirm the date on which your Direct Debit will be collected.

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SECTION D INCOME INSTRUCTION & BANK DETAILS

This section is mandatory. Please let us know what you would like us to do with any income from your investment. If no bank account details are provided we are unable to pay out any income and this will instead be reinvested, using accumulation shares where available. Any payment made must be to an account held in the name of one of the applicants. We are unable to offer dividend payments by cheque.

SECTION F MANDATE DETAILS

Please complete this section in full for monthly investments or leave this blank if you are investing a lump sum.

NB the bank account name must match the name of one of the applicants.

SECTION G PRIVACY NOTICE

Please inform us if you wish to be kept informed of our investment products and services.

SECTION H DECLARATION

This section is mandatory. Please ensure you read this section fully and sign & date in the appropriate place.

SECTION G - PRIVACY NOTICE

We're committed to processing the personal data that you provide to us in line with the latest data protection and data privacy legislation in force within the United Kingdom. We'll use your information in order to provide the service detailed in this Application Form and to administer your investments, communicate with you and provide information on our products and services when you request it, to meet our legal obligations and for the purposes of fraud prevention.

Our full privacy notice
It's important that you understand how the personal information you give us will be used. We strongly advise that you read our full Privacy Notice, which you can find on our website at www.Marlboroughfunds.com, or you can ask us for a copy.

COMMUNICATING WITH YOU INCLUDING DIRECT MARKETING
We'd like to let you know about Marlborough investment products and services we think would be of interest to you. However, we'll only contact you if you consent by ticking the boxes below.

Your marketing preferences
I'm happy for Marlborough Fund Managers Ltd to contact me in the following ways about investment products, services and promotional offers that may be of interest.
Please tick here: By post By phone By email

You can withdraw your consent at any time by letting us know by post, phone or email. We'll continue to use your contact details for sending regular statements, changes to terms and conditions, account enquiries, and as required by law.

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SECTION H - DECLARATION - please ensure that you read and sign this section as this is mandatory

I/We declare that I/We have read and understood the relevant Key Investor Information Document (KIID), the Supplementary Information Document (SID) and the Marlborough Fund Managers Ltd Terms & Conditions and agree to be bound by them. We understand that these documents form part of the terms and conditions of my/our investment in the fund(s) and are available at www.marlboroughfunds.com.

I am/We are male/female and the full prospectus, which includes the risk warnings relevant to the fund(s), is available from www.marlboroughfunds.com.

To the best of my/our knowledge and belief, all statements made in this application form are true and correct and I/We shall inform the plan manager immediately of any changes herein.

I/We declare that I am/We are 18 years of age or over and I/We wish to buy units/shares as set out above at the buying price prevailing at the first valuation point following receipt of this completed application.

I/We declare that I am not/We are not US Person(s) (either a resident or citizen) and acknowledge that US Persons cannot hold units/shares in the fund(s).

All subscriptions made and to be made belong to me/us.

I/We have read the Marlborough Fund Managers Privacy Notice concerning the use of my/our personal data.

HOLDER 1
Name: _____
Client Signature: _____ Date: | | | | | | | | | |

HOLDER 2
Name: _____
Client Signature: _____ Date: | | | | | | | | | |

HOLDER 3
Name: _____
Client Signature: _____ Date: | | | | | | | | | |

HOLDER 4
Name: _____
Client Signature: _____ Date: | | | | | | | | | |

SECTION I - THE DIRECT DEBIT GUARANTEE - please retain for your own records

Banks and Building Societies may not accept Direct Debit instructions for some types of account. This guarantee should be detached and retained by the payer.

This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.

If there are any changes to the amount, date or frequency of your Direct Debit Marlborough Fund Managers Ltd will notify you 3 working days in advance of your account being debited or as otherwise agreed. If you request Marlborough Fund Managers Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request.

If an error is made in the payment of your Direct Debit, by Marlborough Fund Managers Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.

If you receive a refund you are not entitled to, you must pay it back when Marlborough Fund Managers Ltd asks you to.

You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

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SECTION I DD GUARANTEE

This section is to be retained by you. Please cut off this section before sending in your application.

WHAT IS A KIID & SID?

The KIID (Key Investor Information Document) is a document which gives key information about a fund(s) including the nature and risk of the fund. The SID (Supplementary Information Document) is a document which provides additional useful information you should be aware of before investing with Marlborough. These documents are available within the funds section of our website www.marlboroughfunds.com and must be read before submitting the application.

CHECKLIST

- Have you read the KIID, SID and T&Cs for the fund(s) you are investing in?
- Lump sum investment: have you sent a payment for the investment amount via bank transfer with the name of the main applicant as a reference or, alternatively, have you enclosed a cheque made payable to Marlborough Fund Managers?
- Monthly Investment: have you completed section F to set up your Direct Debit and detached & kept the Direct Debit Guarantee in section I?
- Have you read, signed & dated the declaration?

 Marlborough House, 59 Chorley New Road, Bolton, BL1 4QP

 Investor Support: 0808 145 2500

 Email: enquiries@marlboroughfunds.com

 Website: www.marlboroughfunds.com

Marlborough
Fund Managers