

## SECTION A PERSONAL DETAILS

**This section is mandatory**

Please provide all of your personal details.

Providing your email address will allow us to send certain documentation via email. If you don't provide an email address you will be unable to update your account via email. This includes new investments into your account and redemptions from your account.

### Client Identification

In order to comply with Money Laundering Regulations we need to verify your identity. We do this by checking your details against an electronic identity verification platform. These checks won't have any impact on your credit rating. If we can't verify your identity we may write to you with further requirements.

### Overseas Investors

If you are a non-UK resident please contact us before investing to discuss your tax position.

## SECTION C INVESTMENT DETAILS

**This section is mandatory**

Please provide details of your investment choices.

The SEDOL/ISIN of your chosen funds are available from your Financial Adviser. Alternatively they are available in the funds section of our website [www.ifslfunds.com](http://www.ifslfunds.com). Minimum investment requirements are also available on the website within each fund prospectus.

## SECTION E PRIVACY NOTICE

Please inform us if you wish to be kept informed of our investment products and services.

ISA Transfer Form IFSL  
Fund Services

Please complete this form in **BLOCK CAPITALS** and return to: Investment Fund Services Limited (IFSL), Marlborough House, 59 Chorley New Road, Bolton, BL3 1QP; via email at [dealing@ifslfunds.com](mailto:dealing@ifslfunds.com), by facsimile on 01204 533045 or to your financial adviser.

**SECTION A - PERSONAL DETAILS - please complete this section in full as this is mandatory**

Title \_\_\_\_\_ Surname \_\_\_\_\_ Forename(s) \_\_\_\_\_  
 Permanent postal address \_\_\_\_\_  
 Nationality \_\_\_\_\_ Date of birth \_\_\_\_\_  
 National insurance number \_\_\_\_\_ (if you do not have a NI number please tick this box )  
 Telephone number \_\_\_\_\_  
 Email address \_\_\_\_\_  
 Existing holder ID / account number (if known) \_\_\_\_\_  
 How would you like to receive documentation related to your investments?  Post  Email  
 I apply to transfer my existing Stocks & Shares ISA into a Stocks & Shares ISA provided by IFSL.  
 I apply to transfer my existing Cash ISA into a Stocks & Shares ISA provided by IFSL.

**SECTION B - FINANCIAL ADVISER'S DETAILS - to be completed by your financial adviser if applicable**

Adviser's name/firm \_\_\_\_\_ Adviser's firm stamp **B**  
 Adviser's email address \_\_\_\_\_  
 FCA/FRN number \_\_\_\_\_  
 Agency code (if known) \_\_\_\_\_  
 Cancellation rights apply  Discretionary business  Advised business  
 Execution only  Portfolio management services

**SECTION C - INVESTMENT DETAILS - please provide details of your investment choices as this is mandatory**

Please indicate how much of your total transfer value you wish to invest in each fund

Fund n°	SEDOL/ISIN of Fund	% Split
1.		
2.		
3.		
4.		
5.		
TOTAL		100%

ISA TRANSFER FORM PAGE 1 OF 3

## SECTION B FINANCIAL ADVISER DETAILS

If you are investing via a financial adviser they will need to complete this section.

## SECTION D TRANSFER INSTRUCTION TO EXISTING ISA MANAGER

**This section is mandatory**

Please provide us with details of the existing ISA manager where you are transferring your ISA from. This section is mandatory as we need to pass your authority on to your existing provider as part of the transfer process.

If you are transferring an existing cash ISA the cash will be invested into the fund(s) you have chosen in Section C. If you are transferring an existing stocks and shares ISA, your existing funds will be cashed in and the proceeds will then be invested into the fund(s) you have chosen in Section C.

If you are transferring from multiple ISA managers, separate ISA transfer forms should be used for each one.

### Transfer Choices

Please ensure that you use the tick boxes to inform us what you wish to transfer from your existing ISA(s). Please note that not all providers accept partial transfers. If you request a partial transfer and your existing provider rejects the request we will contact you.

**SECTION D - TRANSFER INSTRUCTION TO EXISTING ISA MANAGER - please ensure that you complete and sign this section as this is mandatory**

I hereby authorise you to transfer the ISA referred to in this section by liquidating the assets as detailed, the proceeds with any additional cash held in my ISA to IFSL. Any dividends, tax credits, sale proceeds or other benefits should be forwarded as soon as possible. In addition, I authorise you to supply IFSL with full details of my ISA and the transfer process will commence from receipt of this application unless stated otherwise. Please forward a copy of the ISA(s) along with the cheque made payable to IFSL at your earliest convenience to the address above.

Manager Name \_\_\_\_\_  
 Manager Address \_\_\_\_\_  
 Post Code \_\_\_\_\_  
 Telephone Number \_\_\_\_\_  
 Account Number(s) \_\_\_\_\_  
 Approximate value (if known) £ \_\_\_\_\_  
 Signature \_\_\_\_\_ Date \_\_\_\_\_

I wish to transfer the whole of my current years ISA subscription  
 I wish to transfer all of my previous years ISA subscriptions  
 I wish to transfer specific whole tax years e.g. 2017/2018 Please state which years \_\_\_\_\_  
 I wish to transfer £ \_\_\_\_\_ or \_\_\_\_\_ % of my ISA holding for previous tax years

For a partial transfer (Option 4)  
 Please state which funds are to be transferred if the existing ISA holding is held in a Stocks & Shares ISA \_\_\_\_\_

**SECTION E - PRIVACY NOTICE**

We're committed to processing the personal data that you provide to us in line with the latest data protection and data privacy legislation in force within the United Kingdom. We'll use your information in order to provide the service detailed in this Transfer Form and to administer your investments, communicate with you and provide information on our products and services. We request it, to meet our legal obligations and for the purposes of fraud prevention.

Our full privacy policy is available on our website at [www.ifslfunds.com](http://www.ifslfunds.com). It's important that you understand how the personal information you give us will be used. We strongly advise that you read our full Privacy Notice, which you can find on our website at [www.ifslfunds.com](http://www.ifslfunds.com), or you can ask us for a copy.

**COMMUNICATING WITH YOU INCLUDING DIRECT MARKETING**  
 We'd like to let you know about IFSL investment products and services we think would be of interest to you. However, we'll only contact you if you consent by ticking the boxes below.

Your marketing preferences  
 I'm happy for IFSL to contact me in the following ways about investment products, services and promotional offers that may be of interest.  
 Please tick here: By post  By phone  By email

You can withdraw your consent at any time by letting us know by post, phone or email. Please note we will continue to contact you with information relevant to the operation and maintenance of your account as required by law.

ISA TRANSFER FORM PAGE 2 OF 3

